

## UK Summary - Negative Shaft Friction

Chris Raison

Negative Shaft Friction  $Q_{nsf} = q_s A_s$

$q_s = \sigma'_v \beta$  for sands, gravels and long term clay

$\beta = 0.25$  to  $0.30$

$q_s = \alpha c_u$  for short term clay

$\alpha = 1.0$

$Q_{nsf,d}$  Computed using M2 material factors

$\gamma_{m,\phi',c'} = 1.25$

$\gamma_{m,Cu} = 1.4$

Worst case assumptions.

Use soil-structure interaction software to compute location of neutral axis and actual  $Q_{nsf}$  for SLS case. No  $Q_{nsf}$  if pile settlement greater than settlement of soft soil.

Under ULS conditions, negative shaft friction often reduces to zero or even positive shaft friction.

Negative shaft friction limited by driving overburden stress for  $Q_{nsf}$  caused by backfilling.

## Example Problem

### Under test:

$$Q_s = 725\text{kN including } 392\text{kN from soft clay}$$

$$Q_b = 969\text{kN}$$

$$Q_{\text{ult}} = 1694\text{kN (cf Load test } 1587\text{kN at } 40\text{mm settlement)}$$

### Short term conditions:

$$Q_s = 474\text{kN}$$

$$Q_b = 1317\text{kN}$$

$$Q_{\text{ult}} = 1791\text{kN}$$

$$Q_{\text{nsf},k} = 410\text{kN and } Q_{\text{nsf},d} = 572\text{kN (M2 factors)}$$

$$R_{\text{cd}} = 464\text{kN}$$

$$F_d = 450\text{kN} < R_{\text{cd}} \text{ OK}$$

### Long term conditions:

$$Q_s = 474\text{kN}$$

$$Q_b = 1317\text{kN}$$

$$Q_{\text{ult}} = 1791\text{kN}$$

$$Q_{\text{nsf},k} = 316\text{kN and } Q_{\text{nsf},d} = 395\text{kN (M2 factors)}$$

$$R_{\text{cd}} = 690\text{kN}$$

$$F_d = 450\text{kN} < R_{\text{cd}} \text{ OK}$$

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NEGATIVE SHAFT FRICTION - EG7 EXAMPLE  
350mm DRIVEN PRECAST PILE  
Load test conditions

Job No.	Sheet No.	Rev.
EG7/2012		
Drg. Ref.		
Made by CAR	Date 19-Jun-12	Data 350_T1.KPL
		Checked

PILE BEARING CAPACITY

Soil Description	Top Level (mOD)	Soil Type	Shaft Top (kPa)	Stress Base (kPa)	Shaft Friction (kN)
Soft CLAY	0	Undrained	35	35	392
Medium dense SAND	-8.00	Drained	65	94	333
Pile Toe Level -11.00 mOD Base stress 7913 kPa					NEGATIVE SHAFT FRICTION 0 kN SHAFT CAPACITY 725 kN END BEARING CAPACITY 969 kN ULTIMATE CAPACITY 1694 kN
Maintained load test to ultimate capacity			EC7 Model Factor		1.2
Characteristic Shaft Resistance Rsk					604 kN
Characteristic End Bearing Resistance Rbk					808 kN
Characteristic Pile Resistance Rk					1412 kN
Settlement verified by load test			EC7 Resistance Factors		
Shaft Factor					1.3
End Bearing Factor					1.5
Shaft Tension Factor					1.7
UK National Annex to EC7 Factor Set R4			EC7 DESIGN RESISTANCE Rcd		1003 kN
			EC7 DESIGN TENSION RESISTANCE Rtd		355 kN
			PILE LENGTH		13.00 m

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PILE BEARING CAPACITY - FULL OUTPUT DETAILS - EC7 Design Resistance

Soil Description	Increment Level (mOD)	Vertical Eff Str (kPa)	Water Pressure (kPa)	Shaft Stress (kPa)	Total Shaft (kN)
Soft CLAY	0	0	0	35.0	0
	-0.01	0.1	0.1	35.0	0.5
	-0.02	0.2	0.2	35.0	1.0
	-0.03	0.2	0.3	35.0	1.5
	-0.04	0.3	0.4	35.0	2.0
	-0.50	3.9	4.9	35.0	24.5
	-1.00	7.7	9.8	35.0	49.0
	-1.50	11.6	14.7	35.0	73.5
	-2.00	15.4	19.6	35.0	98.0
	-2.50	19.3	24.5	35.0	122.5
	-3.00	23.1	29.4	35.0	147.0
	-3.50	27.0	34.3	35.0	171.5
	-4.00	30.8	39.2	35.0	196.0
	-4.50	34.7	44.1	35.0	220.5
	-5.00	38.5	49.0	35.0	245.0
	-5.50	42.4	53.9	35.0	269.5
	-6.00	46.2	58.8	35.0	294.0
	-6.50	50.1	63.7	35.0	318.5
	-7.00	53.9	68.6	35.0	343.0
	-7.50	57.8	73.5	35.0	367.5
	-8.00	61.6	78.4	35.0	392.0
Medium dense SAND	-8.00	61.6	78.4	64.7	392.0
	-8.50	66.2	83.3	69.5	439.0
	-9.00	70.8	88.2	74.3	489.4
	-9.50	75.4	93.1	79.2	543.1
	-10.00	80.0	98.0	84.0	600.3
	-10.50	84.6	102.9	88.8	660.8
	-11.00	89.2	107.8	93.7	724.7
PILE Toe Level	-11.00	mOD	NEGATIVE SHAFT FRICTION		0 kN
Base stress	7913	kPa	SHAFT CAPACITY		725 kN
Vertical eff stress	89.2	kPa	END BEARING CAPACITY		969 kN
Nq	33.2		ULTIMATE CAPACITY		1694 kN
Sc.dc	2.67				
Effective Nq	88.7		EC7 DESIGN RESISTANCE		1003 kN
			EC7 TENSION RESISTANCE		355 kN
			PILE LENGTH 13.00 m		



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NEGATIVE SHAFT FRICTION - EG7 EXAMPLE  
350mm DRIVEN PRECAST PILE  
Load test conditions

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CAR	19-Jun-12	350_T1.KPL
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EC7 PARTIAL FACTORS

UK National Annex to EC7 Factor Set R4

Maintained load test to ultimate capacity  
Model Factor : 1.2

Settlement verified by load test  
Shaft Factor : 1.3  
End Bearing Factor : 1.5  
Shaft Tension Factor : 1.7

SOIL PROPERTIES DATA FOR LAYER 1

Soft CLAY Top level 0 mOD

Undrained Properties

	Unit weight (kN/m <sup>3</sup> )	Cu (kPa)	Alpha	Nc	Shaft stress (kPa)	Base stress (kPa)
Top	17.50	35.0	1.00	9.0	35	315
Base		35.0	1.00		35	315

SOIL PROPERTIES DATA FOR LAYER 2

Medium dense SAND Top level -8.00 mOD

Drained Properties

	SPT N value (blows/300mm)	Unit weight (kN/m <sup>3</sup> )	c' (kPa)	Phi' (deg)	Eff Phi' (deg)	Ks	Tan delta	Shaft stress (kPa)
Top		19.00	0		35.0	1.50	0.70	65
Base								132

	Eff Phi' (deg)	Nq	D/B ratio	Sc.dc	Eff Nq	Base stress (kPa)
Top	35.0	33.2	0	1.32	43.7	2695
Base			20.00	3.00	99.7	12557

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NEGATIVE SHAFT FRICTION - EG7 EXAMPLE  
350mm DRIVEN PRECAST PILE  
EC7 design - short term NSF

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**PILE BEARING CAPACITY**

Soil Description	Top Level (mOD)	Soil Type	Shaft Top (kPa)	Shaft Stress Base (kPa)	Shaft Friction (kN)
Granular BACKFILL	2.00	Negative	0	-16	-23
Soft CLAY	0	Negative	-49	-49	-549
Medium dense SAND	-8.00	Drained	98	127	474
Pile Toe Level -11.00 mOD Base stress 10752 kPa					NEGATIVE SHAFT FRICTION -572 kN SHAFT CAPACITY 474 kN END BEARING CAPACITY 1317 kN ULTIMATE CAPACITY 1791 kN
Maintained load test to ultimate capacity			EC7 Model Factor		1.2
Characteristic Shaft Resistance Rsk					395 kN
Characteristic End Bearing Resistance Rbk					1098 kN
Characteristic Pile Resistance Rk					1492 kN
Settlement verified by load test			EC7 Resistance Factors		
Shaft Factor					1.3
End Bearing Factor					1.5
Shaft Tension Factor					1.7
UK National Annex to EC7 Factor Set R4			EC7 DESIGN RESISTANCE Rcd		464 kN
			EC7 DESIGN TENSION RESISTANCE Rtd		232 kN
PILE LENGTH					13.00 m

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NEGATIVE SHAFT FRICTION - EG7 EXAMPLE  
350mm DRIVEN PRECAST PILE  
EC7 design - short term NSF

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**PILE BEARING CAPACITY - FULL OUTPUT DETAILS - EC7 Design Resistance**

Soil Description	Increment Level (mOD)	Vertical Eff Str (kPa)	Water Pressure (kPa)	Shaft Stress (kPa)	Total Shaft (kN)																																			
<p>PILE BEARING CAPACITY - FULL OUTPUT DETAILS - EC7 Design Resistance</p> <p> <table border="0" style="width:100%"> <tr> <td style="width:30%">Pile System</td> <td style="width:30%">Precast</td> <td colspan="4" style="text-align:right">Pile size 350 mm</td> </tr> </table> </p> <p> <table border="0" style="width:100%"> <tr> <td style="width:30%">Pile platform level :</td> <td colspan="5">2.00 mOD</td> </tr> <tr> <td>Pile cut off level :</td> <td colspan="5">2.00 mOD</td> </tr> </table> </p>						Pile System	Precast	Pile size 350 mm				Pile platform level :	2.00 mOD					Pile cut off level :	2.00 mOD																					
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Soil Description	Increment Level (mOD)	Vertical Eff Str (kPa)	Water Pressure (kPa)	Shaft Stress (kPa)	Total Shaft (kN)																																			
Granular BACKFILL	2.00	0	0	0	0																																			
	1.50	8.0	0	-4.1	-1.5																																			
	1.00	16.0	0	-8.2	-5.8																																			
	0.50	24.0	0	-12.2	-12.9																																			
	0	32.0	0	-16.3	-23.0																																			
Soft CLAY	0	32.0	0	-49.0	-23.0																																			
	-0.50	35.9	4.9	-49.0	-57.3																																			
	-1.00	39.7	9.8	-49.0	-91.6																																			
	-1.50	43.6	14.7	-49.0	-125.9																																			
	-2.00	47.4	19.6	-49.0	-160.2																																			
	-2.50	51.3	24.5	-49.0	-194.5																																			
	-3.00	55.1	29.4	-49.0	-228.8																																			
	-3.50	59.0	34.3	-49.0	-263.1																																			
	-4.00	62.8	39.2	-49.0	-297.4																																			
	-4.50	66.7	44.1	-49.0	-331.7																																			
	-5.00	70.5	49.0	-49.0	-366.0																																			
	-5.50	74.4	53.9	-49.0	-400.3																																			
	-6.00	78.2	58.8	-49.0	-434.6																																			
	-6.50	82.1	63.7	-49.0	-468.9																																			
	-7.00	85.9	68.6	-49.0	-503.2																																			
	-7.50	89.8	73.5	-49.0	-537.5																																			
	-8.00	93.6	78.4	-49.0	-571.8																																			
Medium dense SAND	-8.00	93.6	78.4	98.3	-571.8																																			
	-8.50	98.2	83.3	103.1	-501.3																																			
	-9.00	102.8	88.2	107.9	-427.4																																			
	-9.50	107.4	93.1	112.8	-350.1																																			
	-10.00	112.0	98.0	117.6	-269.4																																			
	-10.50	116.6	102.9	122.4	-185.4																																			
	-11.00	121.2	107.8	127.3	-97.9																																			
<table border="0" style="width:100%"> <tr> <td style="width:30%">Pile Toe Level</td> <td style="width:10%">-11.00</td> <td style="width:10%">mOD</td> <td style="width:30%">NEGATIVE SHAFT FRICTION</td> <td style="width:20%">-572 kN</td> </tr> <tr> <td>Base stress</td> <td>10752</td> <td>kPa</td> <td>SHAFT CAPACITY</td> <td>474 kN</td> </tr> <tr> <td>Vertical eff stress</td> <td>121.2</td> <td>kPa</td> <td>END BEARING CAPACITY</td> <td>1317 kN</td> </tr> <tr> <td>Nq</td> <td>33.2</td> <td></td> <td>ULTIMATE CAPACITY</td> <td>1791 kN</td> </tr> <tr> <td>Sc.dc</td> <td>2.67</td> <td></td> <td></td> <td></td> </tr> <tr> <td>Effective Nq</td> <td>88.7</td> <td></td> <td>EC7 DESIGN RESISTANCE</td> <td>464 kN</td> </tr> <tr> <td></td> <td></td> <td></td> <td>EC7 TENSION RESISTANCE</td> <td>232 kN</td> </tr> </table> <p style="text-align:right">PILE LENGTH 13.00 m</p>						Pile Toe Level	-11.00	mOD	NEGATIVE SHAFT FRICTION	-572 kN	Base stress	10752	kPa	SHAFT CAPACITY	474 kN	Vertical eff stress	121.2	kPa	END BEARING CAPACITY	1317 kN	Nq	33.2		ULTIMATE CAPACITY	1791 kN	Sc.dc	2.67				Effective Nq	88.7		EC7 DESIGN RESISTANCE	464 kN				EC7 TENSION RESISTANCE	232 kN
Pile Toe Level	-11.00	mOD	NEGATIVE SHAFT FRICTION	-572 kN																																				
Base stress	10752	kPa	SHAFT CAPACITY	474 kN																																				
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NEGATIVE SHAFT FRICTION - EG7 EXAMPLE  
350mm DRIVEN PRECAST PILE  
EC7 design - short term NSF

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PILE DATA

    Pile system          Precast  
        Pile size :       350 mm                  Shaft perimeter :   1.400 m  
  Base area :        0.123 m<sup>2</sup>  
Pile platform level :       2.00 mOD  
Pile cut off level :        2.00 mOD

SOIL STRATA DATA

Soil Layer	Soil Description	Top Level (mOD)	Soil Type
1	Granular BACKFILL	2.00	Negative
2	Soft CLAY	0	Negative
3	Medium dense SAND	-8.00	Drained
	Rigid base level :	-15.00	

WATER DATA

Unit weight of water :       9.80 kN/m<sup>3</sup>  
Hydrostatic profile  
    Water table level :       0 mOD

CALCULATION DATA

User selected calculation options  
    Design Approach : EC7 design resistance  
    Shaft Friction Ks : Ks from empirical relationships  
    Bearing capacity Nq : Brinch Hansen bearing capacity  
    Confining stress : Not considered  
    Pile Settlements : Not considered

Maximum allowable stresses  
    Shaft fs :            500 kPa  
    Base fb :            15000 kPa  
    Concrete fcu :       60.00 N/mm<sup>2</sup>

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EC7 PARTIAL FACTORS

UK National Annex to EC7 Factor Set R4

Maintained load test to ultimate capacity  
Model Factor : 1.2

Settlement verified by load test  
Shaft Factor : 1.3  
End Bearing Factor : 1.5  
Shaft Tension Factor : 1.7

SOIL PROPERTIES DATA FOR LAYER 1

Granular BACKFILL Top level 2.00 mOD

Negative Shaft Friction Properties - Effective stress calculation

	SPT N value (blows/300mm)	Unit weight (kN/m3)	c' (kPa)	Phi' (deg)	Eff Phi' (deg)	Ks	Tan delta	Shaft stress (kPa)
Top		16.00	0		30.0	0.88	-0.58	0
Base								-16

SOIL PROPERTIES DATA FOR LAYER 2

Soft CLAY Top level 0 mOD

Negative Shaft Friction Properties - Total stress calculation

	Unit weight (kN/m3)	Cu (kPa)	Alpha	Shaft stress (kPa)
Top	17.50	35.0	-1.40	-49
Base		35.0	-1.40	-49

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SOIL PROPERTIES DATA FOR LAYER 3

Medium dense SAND Top level -8.00 mOD

Drained Properties

	SPT N value (blows/300mm)	Unit weight (kN/m <sup>3</sup> )	c' (kPa)	Phi' (deg)	Eff Phi' (deg)	Ks	Tan delta	Shaft stress (kPa)
Top		19.00	0		35.0	1.50	0.70	98
Base								166

	Eff Phi' (deg)	Nq	D/B ratio	Sc.dc	Eff Nq	Base stress (kPa)
Top	35.0	33.2	0	1.32	43.7	4095
Base			20.00	3.00	99.7	15747

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NEGATIVE SHAFT FRICTION - EG7 EXAMPLE  
350mm DRIVEN PRECAST PILE  
EC7 design - long term NSF

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Drg. Ref.		
Made by	Date	Data
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		Checked

**PILE BEARING CAPACITY**

Soil Description	Top Level (mOD)	Soil Type	Shaft Top (kPa)	Shaft Stress Base (kPa)	Shaft Friction (kN)
Granular BACKFILL	2.00	Negative	0	-16	-23
Soft CLAY	0	Negative	-20	-38	-322
Medium dense SAND	-8.00	Drained	98	127	474
Pile Toe Level -11.00 mOD Base stress 10752 kPa					NEGATIVE SHAFT FRICTION -345 kN SHAFT CAPACITY 474 kN END BEARING CAPACITY 1317 kN ULTIMATE CAPACITY 1791 kN
Maintained load test to ultimate capacity			EC7 Model Factor		1.2
Characteristic Shaft Resistance Rsk					395 kN
Characteristic End Bearing Resistance Rbk					1098 kN
Characteristic Pile Resistance Rk					1492 kN
Settlement verified by load test			EC7 Resistance Factors		
Shaft Factor					1.3
End Bearing Factor					1.5
Shaft Tension Factor					1.7
UK National Annex to EC7 Factor Set R4			EC7 DESIGN RESISTANCE Rcd		690 kN
			EC7 DESIGN TENSION RESISTANCE Rtd		232 kN
PILE LENGTH					13.00 m

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NEGATIVE SHAFT FRICTION - EG7 EXAMPLE  
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**PILE BEARING CAPACITY - FULL OUTPUT DETAILS - EC7 Design Resistance**

Soil Description	Increment Level (mOD)	Vertical Eff Str (kPa)	Water Pressure (kPa)	Shaft Stress (kPa)	Total Shaft (kN)
Granular BACKFILL	2.00	0	0	0	0
	1.50	8.0	0	-4.1	-1.5
	1.00	16.0	0	-8.2	-5.8
	0.50	24.0	0	-12.2	-12.9
	0	32.0	0	-16.3	-23.0
Soft CLAY	0	32.0	0	-19.6	-23.0
	-0.50	35.9	4.9	-20.7	-37.1
	-1.00	39.7	9.8	-21.9	-52.0
	-1.50	43.6	14.7	-23.0	-67.7
	-2.00	47.4	19.6	-24.2	-84.2
	-2.50	51.3	24.5	-25.3	-101.6
	-3.00	55.1	29.4	-26.5	-119.7
	-3.50	59.0	34.3	-27.6	-138.6
	-4.00	62.8	39.2	-28.8	-158.4
	-4.50	66.7	44.1	-29.9	-178.9
	-5.00	70.5	49.0	-31.1	-200.3
	-5.50	74.4	53.9	-32.2	-222.4
	-6.00	78.2	58.8	-33.4	-245.4
	-6.50	82.1	63.7	-34.5	-269.1
	-7.00	85.9	68.6	-35.7	-293.7
	-7.50	89.8	73.5	-36.8	-319.1
	-8.00	93.6	78.4	-38.0	-345.3
Medium dense SAND	-8.00	93.6	78.4	98.3	-345.3
	-8.50	98.2	83.3	103.1	-274.8
	-9.00	102.8	88.2	107.9	-200.9
	-9.50	107.4	93.1	112.8	-123.6
	-10.00	112.0	98.0	117.6	-42.9
	-10.50	116.6	102.9	122.4	41.1
	-11.00	121.2	107.8	127.3	128.6
File Toe Level	-11.00	mOD	NEGATIVE SHAFT FRICTION		-345 kN
Base stress	10752	kPa	SHAFT CAPACITY		474 kN
Vertical eff stress	121.2	kPa	END BEARING CAPACITY		1317 kN
Nq	33.2		ULTIMATE CAPACITY		1791 kN
Sc.dc	2.67				
Effective Nq	88.7		EC7 DESIGN RESISTANCE		690 kN
			EC7 TENSION RESISTANCE		232 kN
PILE LENGTH					13.00 m



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NEGATIVE SHAFT FRICTION - EG7 EXAMPLE  
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EC7 PARTIAL FACTORS

UK National Annex to EC7 Factor Set R4

Maintained load test to ultimate capacity  
Model Factor : 1.2

Settlement verified by load test  
Shaft Factor : 1.3  
End Bearing Factor : 1.5  
Shaft Tension Factor : 1.7

SOIL PROPERTIES DATA FOR LAYER 1

Granular BACKFILL Top level 2.00 mOD

Negative Shaft Friction Properties - Effective stress calculation

	SPT N value (blows/300mm)	Unit weight (kN/m3)	c' (kPa)	Phi' (deg)	Eff Phi' (deg)	Ks	Tan delta	Shaft stress (kPa)
Top		16.00	0		30.0	0.88	-0.58	0
Base								-16

SOIL PROPERTIES DATA FOR LAYER 2

Soft CLAY Top level 0 mOD

Negative Shaft Friction Properties - Effective stress calculation

	SPT N value (blows/300mm)	Unit weight (kN/m3)	c' (kPa)	Phi' (deg)	Eff Phi' (deg)	Ks	Tan delta	Shaft stress (kPa)
Top		17.50	-10.0		20.0	0.83	-0.36	-20
Base								-38

TC250/SC7  
Evolution Group 7

**Raison Foster  
Associates**

NEGATIVE SHAFT FRICTION - EG7 EXAMPLE  
350mm DRIVEN PRECAST PILE  
EC7 design - long term NSF

Job No.	Sheet No.	Rev.
EG7/2012		
Drg. Ref.		
Made by CAR	Date 27-Jun-12	Data 350_P2.KPL
		Checked

SOIL PROPERTIES DATA FOR LAYER 3

Medium dense SAND Top level -8.00 mOD

Drained Properties

	SPT N value (blows/300mm)	Unit weight (kN/m <sup>3</sup> )	c' (kPa)	Phi' (deg)	Eff Phi' (deg)	Ks	Tan delta	Shaft stress (kPa)
Top		19.00	0		35.0	1.50	0.70	98
Base								166

  

	Eff Phi' (deg)	Nq	D/B ratio	Sc.dc	Eff Nq	Base stress (kPa)
Top	35.0	33.2	0	1.32	43.7	4095
Base			20.00	3.00	99.7	15747



